

For Six Month Period Ending 30 June 2009
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.
Alcalde & Fay, Ltd. 5898

(c) Business Address(es) of Registrant
2111 Wilson Boulevard
8th Floor
Arlington, VA 22201

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- | | | |
|---------------------------|------------------------------|--|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
- (b) If an organization:
- | | | |
|--------------------------|------------------------------|--|
| (1) Name | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
- (c) Explain fully all changes, if any, indicated in items (a) and (b) above.

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4 AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

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4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date connection ended
n/a	n/a	n/a

- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
n/a	n/a	n/a	n/a	n/a

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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☒

If yes, identify each such person and describe his service.

n/a

- (b) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
n/a	n/a	n/a

- (c) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
n/a	n/a	n/a	n/a	n/a

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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

n/a

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name of foreign principal
Democracy International

Date of termination
1 March 2009

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name and address of foreign principal
Milanka and Bogoljub Karic
Uzika 35
1100 Belgrade, Serbia

Date acquired
1 March 2009

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

none

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A ³	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Exhibit B ⁴	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during the 6 month period?

Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits?

Yes ☐ No ☒

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157), sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See insert labeled "Question 11"

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates and places of delivery, names of speakers and subject matter.

See insert labeled "Question 12"

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

n/a

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶.

Date	From whom	Purpose	Amount
02/16/09	Karic	Good-faith Payment for Future Services	\$25,000.00
03/01/09	Karic	Retainer for Services	\$25,000.00
04/01/09	Karic	Retainer for Services	\$25,000.00
05/01/09	Karic	Retainer for Services	\$25,000.00
06/01/09	Karic	Retainer for Services	\$25,000.00

\$125,000.00

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☒

If yes, indicate the date the Exhibit D was filed. Date n/a

(c) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
n/a	n/a	n/a	n/a

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS – MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

n/a

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To whom	Purpose	Amount
04/01/09	Self/Alcalde & Fay	Telephone Services	\$ 5.00
		Publications	\$257.50
05/01/09	Self/Alcalde & Fay	Communication Services	\$ 65.00
		Copies	\$115.75
		Fees	\$610.00
06/01/09	Self/Alcalde & Fay	Telephone Services	\$ 5.00
		Copies	\$ 1.50

\$1059.75

Total

(b) **DISBURSEMENTS – THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
n/a	n/a	n/a	n/a	n/a

(c) **DISBURSEMENTS – POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒ No ☐

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
1/27/09	\$400.00	Friends of Michael Brown	DC Councilman M. Brown
2/10/09	\$250.00	Murtha for Congress	Rep. John Murtha
2/24/09	\$500.00	Wittman for Congress	Rep. Rob Wittman
3/04/09	\$300.00	Com. to Elect Boradallo	Del. M. Bordallo
3/28/09	\$1200.00	Friends of Connie Mack	Rep. Connie Mack
4/28/09	\$1000.00	Webb for Senate	Sen. Jim Webb
5/19/09	\$100.00	NRSC	Natl. Rep. Sen. Comm.
6/02/09	\$250.00	Wittman for Congress	Rep. Rob Wittman
6/11/09	\$1300.00	Cantor for Congress	Rep. Eric Cantor
6/25/09	\$500.00	Forward Together PAC	Sen. Mark Warner

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
 Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

Milanka and Bogoljub Karic

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- | | | | |
|---|---|---|--|
| <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Magazine or newspaper articles | <input type="checkbox"/> Motion picture films | <input checked="" type="checkbox"/> Letters or telegrams |
| <input type="checkbox"/> Advertising campaigns | <input type="checkbox"/> Press releases | <input checked="" type="checkbox"/> Pamphlets or other publications | <input type="checkbox"/> Lectures or speeches |
| <input type="checkbox"/> Internet | <input type="checkbox"/> Other (specify) _____ | | |

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- | | | |
|---|---|---|
| <input checked="" type="checkbox"/> Public officials | <input type="checkbox"/> Newspapers | <input type="checkbox"/> Libraries |
| <input checked="" type="checkbox"/> Legislators | <input type="checkbox"/> Editors | <input type="checkbox"/> Educational institutions |
| <input checked="" type="checkbox"/> Government agencies | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups |
| <input type="checkbox"/> Other (specify) _____ | | |

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☒

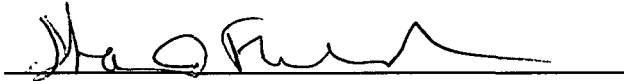
23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

12. The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI – EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Type or print name under each signature¹³)

Shantrel Fields, Alcalde & Fay

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¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Response to Question 11

Foreign Entity:

Milanka and Bogoljub Karic
Uzika 35
1100 Belgrade, Serbia

Alcalde & Fay has, during this reporting period, assisted the Karics in their efforts to engage the United States government to become an active advocate for protecting free speech, human rights, and promoting a sound economy in Serbia. We have undertaken efforts to educate and inform various members of Congress, persons at the executive agencies, and other institutions about the current political and human rights situation in Serbia by means of personal meetings and published documents. Specifically, our efforts have focused on promoting a free judiciary, advancing political stability and democratic reforms, and advocating transparency in Serbian government.

Our efforts have included attending and arranging (and attempting to arrange) meetings where the current situation in Serbia was discussed, as well as the specific case of the Karic's persecution by the Serbian government. Further, we have acted as liaison between the family and the U.S. government on the specific matter of Milanka Karic's application for a U.S. visa and Bogoljub Karic's legal status. This effort has also included escorting and attending to other representatives of the Karic family while in Washington D.C., and coordinating their visits.

Democracy International

No efforts were undertaken for Democracy International during this reporting period. At this time, there is no agreement to provide services the organization and the relationship has been terminated.

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Response to Question 12

For Milanka and Bogoljub Karic, Alcalde & Fay has assisted them in their efforts to engage the United States government to become an active advocate for protecting free speech, human rights, and promoting a sound economy in Serbia. We have undertaken efforts to educate and inform various members of Congress, persons at the executive agencies, and other institutions about the current political and human rights situation in Serbia by means of personal meetings and published documents. Specifically, our efforts have focused on promoting a free judiciary, advancing political stability and democratic reforms, and advocating transparency in Serbian government. Our efforts have included attending and arranging (and attempting to arrange) meetings where the current situation in Serbia was discussed, as well as the specific case of the Karic's persecution by the Serbian government. The following is a list of meetings that were attempted to be arranged by us on behalf of the Karics but were unsuccessful:

<i>Office</i>	<i>Names</i>
House Foreign Affairs Committee	Amanda Sloat, Majority Serbian Desk Sarah Preisser, Minority Serbian Desk
Co-Chair of Serbian Caucus	Rep. Dan Burton (R-IN-5)
Member of Serbian Caucus	Rep. Corrine Brown (D-FL-3)
Ranking Member of Foreign Affairs Subcommittee on Europe	Rep. Elton Gallegly (R-CA-24)
Member of Helsinki Commission Member of Serbian Caucus Member of Committee on Foreign Affairs	Rep. Mike Pence (R-IN-6)
Member of Subcommittee on Europe Member of Serbian Caucus	Rep. Gus Bilirakis (R-FL-9)
Member of Helsinki Commission Member of Committee on Foreign Affairs	Rep. Christopher Smith (R-NJ-4)
House Foreign Affairs Committee	Rick Kessler, Majority Staff Director
House Europe Subcommittee	Richard Mereu, Minority Professional Staff Member
House Human Rights Subcommittee	Paul Berkowitz, Minority Professional Staff Member
Senate Foreign Relations Committee	Minority Serbian Desk Ken Myers
Chair of Helsinki Commission	Sen. Benjamin Cardin (D-MD)
Co-Chair of Human Rights Caucus	Sen. Tom Harkin (D-IA)
Co-Chair of Human Rights Caucus	Sen. Sam Brownback (R-KS)
Ranking Member of Foreign Relations Committee Member of Subcommittee on Human Rights Member of Subcommittee on European Affairs	Sen. Richard Lugar (R-IN)

Member of Foreign Relations Subcommittee on European Affairs Member of Foreign Relations Subcommittee on Human Rights	Sen. Robert Menendez (D-NJ)
Member of Foreign Relations Subcommittee on European Affairs Member of Foreign Relations Subcommittee on Human Rights	Sen. Edward Kaufman (D-DE)
Member of Helsinki Commission	Sen. Tom Udall (D-NM)
Member of Helsinki Commission Member of Committee on Foreign Relations	Sen. Chris Dodd (D-CT)
Chairman of Senate Committee on the Judiciary Subcommittee on Human Rights and the Law	Sen. Dick Durbin (D-IL)
Executive Director of the Human Rights Caucus and Senior Professional Staff Member for House Foreign Affairs Committee	Hans Hogrefe

The following is a list of meetings actually attended on behalf the Karics:

Karic Meetings Summary	
<i>Meeting</i>	<i>Date/Time</i>
State Department Pete Peterson, Serbian Desk Letitia Coffin, Serbian Desk	Wednesday, May 27, 2009
Bureau of Democracy, Human Rights, and Labor (DRL) Dan Nadel	Wednesday, May 27, 2009
Congressman Bill Delahunt's office (D-MA) Brian Forni, Subcommittee on Europe Policy Advisor	Tuesday, June 2, 2009 11am
House Foreign Affairs Committee Yleem Poblete, Minority Staff Director Mark Gage, Senior Policy Advisor	Tuesday, June 2, 2009 2pm
Congressman Dana Rohrabacher (R-CA)	Tuesday, June 2, 2009 4:40pm
Helsinki Commission Fred Turner, Chief of Staff Bob Hand, Bosnia Policy Advisor Cliff Bond, State Dept. Advisor and former U.S. Ambassador to Bosnia	Wednesday, June 3, 2009 11:30am
Congresswoman Ileana Ros-Lehtinen (R-FL)	Wednesday, June 3, 2009 2pm
Congressman Bennie Thompson (D-MS) Lanier Avant, Majority Staff Director	Wednesday, June 3, 2009 3pm
Congressman Ted Poe (R-TX)	Wednesday, June 3, 2009 5:15pm

Senator Jim DeMint's office (R-SC) Chris Socha, Minority Professional Staff Member on European Affairs	Thursday, June 4, 2009 10am
Senator Roger Wicker's office (R-MS) Sarah Drake, Legislative Assistant John Conlon, State Department Fellow	Thursday, June 4, 2009 11am
Senator Barbara Boxer's office (D-CA) Ann Norris, Senior Foreign Policy Advisor to Foreign Relations Subcommittee on International Operations and Organizations, Human Rights, Democracy, and Global Women's Issues	Thursday, June 4, 2009 12pm
Congressman Robert Wexler's office (D-FL) Chairman of Europe Subcommittee Member of Human Rights Subcommittee Member of Serbian Caucus Jesper Pederson, Foreign Policy Advisor Possibly Jonathan Katz, Majority Staff Director for Europe Subcommittee	Wednesday, June 24, 2009 9:15am
Senator Voinovich's office (R-OH) Matt Ortman, Legislative Aide	Wednesday, June 24, 2009 12:30pm
Congresswoman Melissa Bean's office (D-IL) Co-chair of Serbian Caucus Elizabeth Jurinka, Foreign Policy Legislative Assistant	Wednesday, June 24, 2009 1:30pm
Senator John Kerry's office (D-MA) Jason Bruder, Professional Staff Member for Foreign Relations Committee Dillon Guthrie, Professional Staff Member for Foreign Relations Committee	Thursday, June 25, 2009 3pm
Senator Jeanne Shaheen's office (D-NH) Chairwoman of European Affairs Subcommittee Member of Human Rights Subcommittee Member of Helsinki Commission Chad Kreikemeier, Professional Staff Member for European Affairs Subcommittee	Thursday, June 25, 2009 4pm

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Democracy International

No political activity occurred or was undertaken on behalf of Democracy International, nor payment made to Alcalde & Fay during the reporting period. Client and Alcalde & Fay terminated contractual relationship.